

COMMONWEALTH OF MASSACHUSETTS  
SUPERIOR COURT DEPARTMENT  
OF THE TRIAL COURT

WORCESTER, SS.

CIVIL ACTION NO. 03-2519C

ELIZABETH R. STEFFENBERG, )  
Plaintiff, )  
 )  
v. )  
 )  
T. GENE GILMAN, STEVEN GILMAN, )  
THE GILMAN INSURANCE )  
AGENCY, INC., DAVID M. SCOLL, )  
ARBOR SECURITIES, LTD., )  
ALLIANCE INVESTMENT )  
MANAGEMENT, COMMONWEALTH )  
FINANCIAL HOLDINGS INC., )  
FINANCIAL LINKS, INC., )  
T. GILMAN & CO., LTD., )  
FIRST ALLIED SECURITIES, INC., )  
PENSON FINANCIAL SERVICES, INC., )  
AVIVA LIFE INSURANCE COMPANY, )  
TRADETEK, LTD., TRADETEK, LLC, )  
and IVY HELIX, LLC, )  
Defendants. )

**FILED**  
MAY 06 2004  
ATTEST:  
*[Signature]*  
CLERK

*[Handwritten mark]*

NOTICE OF DISMISSAL

Plaintiff Elizabeth R. Steffenberg, pursuant to the provisions of Mass. R. Civ. P. 41(a)(1)(i), hereby dismisses Defendant Ivy Helix, LLC from this action.

Respectfully submitted,

ELIZABETH R. STEFFENBERG,  
By Her Attorneys,

*[Signature]*

Louis M. Ciavarra, Esquire (BBO #546481)  
Christine S. Collins, Esquire (BBO #639293)  
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A true copy by photostatic process

Attest:

*[Signature]*  
Asst. Clerk

Dated: May 6, 2004

Copies Mailed 5/11/04

CERTIFICATE OF SERVICE

I, Christine S. Collins, hereby certify that I have this 6<sup>th</sup> day of May, 2004 served a copy of the foregoing via first class mail, postage prepaid, to all parties and counsel of record.

  
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Christine S. Collins